

IFW



PATENT
Customer No. 22,852
Attorney Docket No. 08049.0789-01

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:)
)
Timothy B. BARNUM *et al.*) Group Art Unit: 3652
)
Application No.: 10/681,183) Examiner: Unassigned
)
Filed: October 9, 2003)
)
For: SYSTEM AND METHOD FOR) Confirmation No.: 7882
DIRECTLY CONNECTING AN)
ADVANCED FACER CANCELER)
SYSTEM TO A DELIVERY BAR)
CODE SORTER)

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97(b)

Pursuant to 37 C.F.R. §§ 1.56 and 1.97(b), Applicants bring to the attention of the Examiner the documents listed on the attached PTO 1449. To the undersigned's knowledge, this Information Disclosure Statement is being filed before the mailing date of a first Office Action on the merits for this application. Because this application was filed after June 30, 2003, and the listed documents are patents, copies of the listed documents should be available to the Examiner. See 1273 Off. Gaz. Pat. Office 55 (Aug. 5, 2003). Applicants respectfully request that the Examiner consider the listed documents and indicate that they were considered by making appropriate notations on the attached form.

This submission does not represent that a search has been made or that no better art exists and does not constitute an admission that each or all of the listed documents are material or constitute "prior art." If the Examiner applies any of the documents as prior art against any claim in the application and Applicants determine that the cited documents do not constitute "prior art" under United States law, Applicants reserve the right to present to the U.S. Patent and Trademark Office the relevant facts and law regarding the appropriate status of such documents.

Applicants further reserve the right to take appropriate action to establish the patentability of the disclosed invention over the listed documents, should one or more of the documents be applied against the claims of the present application.

If there is any fee due in connection with the filing of this Statement, please charge the fee to our Deposit Account No. 06-0916.

Respectfully submitted,

FINNEGAN, HENDERSON, FARABOW,
GARRETT & DUNNER, L.L.P.

Dated: June 22, 2004

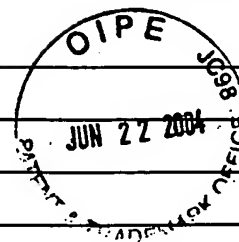
By:

A handwritten signature in black ink, appearing to read "Anthony M. Gutowski", written over a horizontal line.

Anthony M. Gutowski
Reg. No. 38,742

INFORMATION DISCLOSURE CITATION

Atty. Docket No.	8049.0789-01	Appln. No.	10/681,183
Applicant	Timothy B. BARNUM <i>et al.</i>		
Filing Date	October 9, 2003	Group:	3652



U.S. PATENT DOCUMENTS

Examiner Initial*	Document Number	Issue Date	Name	Class	Sub Class	Filing Date If Appropriate
	US 4,083,555	Apr. 11, 1978	Irvine et al.	271	10	
	US 4,119,194	Oct. 10, 1978	Freeman et al.	198	400	
	US 4,815,374	Mar. 28, 1989	Remy	101	426	
	US 5,025,475	Jun. 18, 1991	Okabe	382	1	
	US 5,293,319	Mar. 8, 1994	DeSha et al.	364	464	
	US 5,651,445	Jul. 29, 1997	Stevens et al.	198	447	
	US 5,926,392	Jul. 20, 1999	York et al.	364	478.11	

FOREIGN PATENT DOCUMENTS

Document Number	Publication Date	Country	Class	Sub Class	Translation Yes or No

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

Examiner	Date Considered
<p>*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.</p>	
Form PTO 1449	Patent and Trademark Office - U.S. Department of Commerce

INFORMATION DISCLOSURE CITATION

Atty. Docket No.	8049.0789-01	Appln. No.	10/681,183
Applicant	Timothy B. BARNUM <i>et al.</i>		
Filing Date	October 9, 2003	Group:	3652

U.S. PATENT DOCUMENTS

Examiner Initial*	Document Number	Issue Date	Name	Class	Sub Class	Filing Date If Appropriate
	US 5,971,161	Oct. 26 1999	Branecky et al.	209	657	
	US 6,019,364	Feb. 1, 2000	Svyatsky et al.	271	186	
	US 6,045,278	Apr. 4, 2000	Kubatzki et al.	400	635	
	US 6,178,410	Jan. 23, 2001	Kunde et al.	705	406	
	US 6,179,288	Jan. 30, 2001	Bezelga et al.	271	225	
	US 6,208,910	Mar. 27, 2001	Michael et al.	700	225	
	US 6,247,774	Jun. 19, 2001	Mueller	347	2	

FOREIGN PATENT DOCUMENTS

Document Number	Publication Date	Country	Class	Sub Class	Translation Yes or No

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

Examiner	Date Considered
<p>*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.</p>	
Form PTO 1449	Patent and Trademark Office - U.S. Department of Commerce

INFORMATION DISCLOSURE CITATION

Atty. Docket No.	8049.0789-01	Appln. No.	10/681,183
Applicant	Timothy B. BARNUM <i>et al.</i>		
Filing Date	October 9, 2003	Group:	3652

U.S. PATENT DOCUMENTS							
Examiner Initial*		Document Number	Issue Date	Name	Class	Sub Class	Filing Date If Appropriate
		US 6,282,525	Aug. 28, 2001	Kubatzki et al.	705	410	
		US 6,389,327	May 14, 2002	Thiel	700	227	
		US 6,415,336	Jul. 2, 2002	Sansone	710	5	
		US 6,415,983	Jul. 9, 2002	Ulvir et al.	235	487	

FOREIGN PATENT DOCUMENTS							
		Document Number	Publication Date	Country	Class	Sub Class	Translation Yes or No

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)	

Examiner	Date Considered
<p>*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.</p>	
Form PTO 1449	Patent and Trademark Office - U.S. Department of Commerce

IFW



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re patent application of:

) Date: June 17, 2004

Ronald P. Sansone, et al.

) Attorney Docket No.: F-727

Serial No.: 10/703,651

) Customer No.: 00919

Filed: November 7, 2003

) Group Art Unit: 2876

Confirmation No.: 2193

)

For: **RECIPIENT ELECTED MESSAGING SERVICES ENABLED BY
PROCESSING CODES PRINTED ON MAIL**

INFORMATION DISCLOSURE STATEMENT

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Applicants submit herewith patents, publications or other information of which they are aware, which they believe may be material to the examination of this application and in respect of which there may be a duty to disclose in accordance with 37 CFR § 1.56.

While this Information Disclosure Statement may be "material" pursuant to 37 CFR § 1.56, it is not intended to constitute an admission that any patent, publication or other information referred to herein is "prior art" for this invention unless specifically designated as such.

In accordance with 37 CFR § 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 CFR § 1.56(b) exists.

In accordance with 37 CFR § 1.97(h), the filing of this Information Disclosure Statement shall not be construed to be an admission that the information cited in the

statement is, or is considered to be, material to patentability as defined in 37 CFR § 1.56(b).

This Information Disclosure Statement is being filed in accordance with the following provisions, as identified below with marked boxes, available under 37 CFR § 1.97:

1. ☒ 37 CFR §1.97(b) - This Information Disclosure Statement is being filed according to one of the following: (i) within 3 months of the filing date of this application (other than a CPA); (ii) within 3 months of the date of entry of the national stage as set forth in § 1.491 in an international application; (iii) before the mailing of a first Office action on the merits; or (iv) before the mailing of a first Office action after the filing of a request for continued examination under § 1.114.

OR

2. ☐ 37 CFR §1.97(c) - This Information Disclosure Statement is being filed before the mailing date of any of a final action under § 1.113, a notice of allowance under § 1.311, or an action that otherwise closes prosecution in the application, and it is accompanied by the statement or fee as identified below:

2.1 ☐ Specified Statement under 37 CFR § 1.97(e)(1) - see below.

OR

2.2 ☐ Specified Statement under 37 CFR § 1.97(e)(2) - see below.

OR

2.3 ☐ Please charge Deposit Account No. **16-1885** in the amount of \$180.00 to cover the fee set forth in § 1.17(p).

**** Provisional Fee Authorization.** If Box 2.1 or Box 2.2 is marked above and a final action under § 1.113 has in fact been mailed before the filing of this Information Disclosure Statement, then the Commissioner is authorized to charge Deposit Account No. **16-1885** in the amount of \$180.00 to cover the fee set forth in § 1.17(p) as if Box 2.3 had been marked.

OR

3. ☐ 37 CFR §1.97(d) - This Information Disclosure Statement is being filed on or before payment of the issue fee and it is accompanied by the statement and fee as identified below:

3.1.1 ☐ Specified Statement under 37 CFR § 1.97(e)(1) - see below.

OR

3.1.2 ☐ Specified Statement under 37 CFR § 1.97(e)(2) - see below.

AND

3.2 ☐ Please charge Deposit Account No. **16-1885** in the amount of \$180.00 to cover the fee set forth in § 1.17(p).

Specified Statement under 37 CFR § 1.97(e)(1) - Each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement.

Specified Statement under 37 CFR § 1.97(e)(2) - No item of information contained in

the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing below, after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in § 1.56(c) more than three months prior to the filing of the information disclosure statement.

A list of the patent(s) and/or publication(s) is set forth on the attached Form PTO-1449 (Modified).

The Commissioner is hereby authorized to charge any additional fees which may be required to Deposit Account No. **16-1885**.

A duplicate copy of this transmittal is enclosed for use in charging the Deposit Account.

Respectfully submitted,



Ronald Reichman
Reg. No. 26,796
Attorney of Record
Telephone (203) 924-3854

PITNEY BOWES INC.
Intellectual Property and
Technology Law Department
35 Waterview Drive
P.O. Box 3000
Shelton, CT 06484-8000

Plus attachments: Form PTO-1449 (Modified)

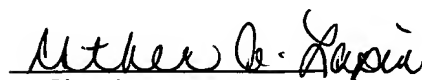
CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to:

Mail Stop Amendments
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

On June 18, 2004
Date of Deposit

Esther A. Lapin
Name of Rep.


Signature

June 18, 2004
Date



FORM PTO-1449 (Modified)

**INFORMATION DISCLOSURE
STATEMENT
BY APPLICANT**Atty. Docket Number
F-727Serial No.
10/703,651Applicant
Ronald P. Sansone, et al.Filing Date
November 7, 2003Group
2876**U.S. PATENT DOCUMENTS**

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA	5,636,038	6/1997	Lynt, et al.			
	AB	6,459,364B2	10/2002	Gupta			
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						

FOREIGN PATENT OR PUBLISHED PATENT APPLICATION DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY OR PATENT OFFICE	CLASS	SUBCLASS	TRANSLATION
	AL						
	AM						
	AN						
	AO						
	AP						

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)

	AR	
	AS	
	AT	

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.